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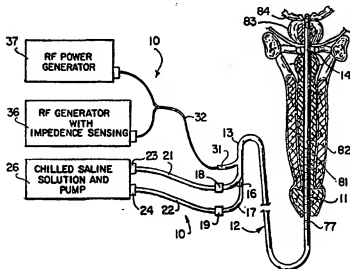
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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(54) Title: TRANSURETHRAL RADIO FREQUENCY ABLATION APPARATUS



## (57) Abstract

This invention is a transurethral radio frequency apparatus (12) for ablation of the prostate gland through the urethra formed by a urethral wall, a probe (14) consisting of a flexible elongate tubular member (10) having proximal and distal extremities and a cylindrical sleeve ablation electrode (76) formed of a conductive material carried by the distal extremity of the flexible elongate tubular member (10) and having a bore therein. The flexible elongate tubular member (10) is provided with a first flow lumen (41) for delivering a cooled fluid to the bore of the ablation electrode (76) and a second flow lumen (48) for returning the cooled fluid. Means are connected to the probe for supplying a coolant solution (26) to the probe, to supply radio frequency energy (37) to the electrode (76) while it is being cooled and to monitor the temperature (36) of the ablation electrode (76) so that the ablation electrode (76) is maintained at a temperature below a predetermined temperature.

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## Transurethral Radio Frequency Ablation Apparatus

This invention relates to a transurethral radio frequency apparatus for ablation of the prostate gland and method.

Heretofore apparatus and methods have been provided  
5 which have been utilized for ablating or destroying tissue  
in the prostate gland to overcome certain effects of an  
enlarged prostate gland typically called benign prostatic  
hypertrophy. Such apparatus and methods have utilized  
catheters for performing microwave and radio frequency  
10 ablation. However, such procedures have typically used a  
resection of the urethra or have caused a penetration of  
the urethral wall or damage to the urethral wall at the  
time that the ablation of the prostatic gland is taking  
place. Such techniques have often required utilization of  
15 an ultrasound viewing instrument to visualize the location  
of the prostate gland and to aid in locating the distal  
extremity of the catheter in the prostate gland. There is  
therefore a need for a new and improved apparatus and  
method which overcomes these disadvantages.

20 In general, it is an object of the present invention  
to provide a transurethral radio frequency apparatus for  
ablation of the prostate gland and method.

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Another object of the invention is to provide an apparatus and method of the above character and in which ablation can be carried without destroying the urethral wall.

- 5 Another object of the invention is to provide an apparatus and method which dispenses with the need for ultrasonic viewing.

- Another object of the invention is to provide an apparatus and method of the above character in which an  
10 ablation electrode is carried by the distal extremity of a catheter disposed in the urethra.

- Another object of the invention is to provide an apparatus and method of the above character in which temperature sensing means is provided for sensing the  
15 temperature of the ablation electrode.

Another object of the invention is to provide an apparatus and method of the above character in which a cooled ablation electrode is provided.

- Another object of the invention is to provide an  
20 apparatus and method in which the cooled ablation electrode is provided to preserve the urethral wall and also to enable an increase in the depth of the lesions which can be created.

- Another object of the invention is to provide an  
25 apparatus and method in which is possible to determine the position of the distal extremity of the catheter within the prostate gland without the use of ultrasound.

- Another object of the invention is to provide an apparatus and method of the above character in which  
30 impedance sensing means is carried by the distal extremity of the catheter to ascertain when the distal extremity is entering the area of the prostate gland.

- Another object of the invention is to provide an apparatus and method of the above character in which the  
35 impedance sensing is utilized for controlling the

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application of radio frequency energy to the ablation electrode.

Additional objects and features of the invention will appear from the following description in which the preferred embodiments are set forth in detail in conjunction with the accompanying drawing.

Figure 1 is a schematic illustration of an apparatus incorporating the present invention showing the apparatus being utilized to perform ablation in the prostate gland.

Figure 2 is an enlarged cross sectional view of a portion of the catheter shown in Figure 1.

Figure 3 is a cross-sectional view taken along the line 3-3 of Figure 2.

Figure 4 is a cross-sectional view taken along the line 4-4 of Figure 2.

Figure 5 is a cross-sectional view taken along the line 5-5 of Figure 2.

Figure 6 is a schematic diagram of the impedance sensing circuitry utilized in the apparatus shown in Figure 1.

Figure 7 is a schematic diagram of the radio frequency power circuit utilized for the apparatus shown in Figure 1.

Figure 8 is a graph showing the temperatures encountered during an ablation procedure in the prostate gland.

In general, the radio frequency apparatus for ablation of the prostate gland through the urethra consists of a probe in the form of a flexible elongate member having proximal and distal extremities and adapted to be inserted into the urethra. An ablation electrode is carried by the distal extremity of the flexible elongate member. The flexible elongate member is provided with a first flow passage therein for delivering a cooled fluid to the ablation electrode to cool the same. The flexible

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elongate member also is provided with an additional flow passage for withdrawing the cooled fluid after it has passed into close proximity of the ablation electrode. Means is connected to the ablation electrode for supplying radio frequency energy to the electrode while it is being cooled to supply radio frequency energy through the urethral wall to the tissue of the prostate gland. The cooling of the ablation electrode serves to protect the urethral wall from the heat generated by radio frequency energy. Impedance sensing means is carried by the distal extremity of the flexible elongate member for ascertaining when the distal extremity of the flexible elongate member has passed into the prostate gland.

More in particular, the transurethral radio frequency apparatus 10 for ablation of the prostate gland consists of a probe 11 which includes flexible elongate member 12 formed of a suitable material such as plastic and having proximal and distal extremities 13 and 14. The proximal extremity 13 is provided with first and second legs 16 and 17 which are connected by fittings 18 and 19 to flexible tubes 21 and 22. The tubes 21 and 22 are connected to fittings 23 and 24 mounted on a block 26 representing schematically a chilled saline solution and pump. The chilled saline solution and pump 26 is utilized for supplying a suitable cooling liquid, as for example a saline solution under pressure through the tubing 21 with a return provided by the tubing 22.

The proximal extremity 13 is also provided with a branch 31 from which there extends a cable 32 for carrying a plurality of conductors as hereinafter described which are connected to a radio frequency generator with impedance sensing capabilities as represented by the block 36.

The flexible elongate tubular member 12 is provided with a central flow lumen 41 which is adapted to receive

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the cooled saline solution supplied through the tubing 21. The flexible elongate tubular member 12 is also provided with two additional moon-shaped or crescent-shaped lumens 42 and 43 with lumen 42 being used as a return lumen for the saline solution and lumen 43 serving as a wire lumen. A sleeve 46 formed of a suitable conductive material such as platinum or stainless steel and having generally the same diameter as the diameter of the flexible elongate tubular member 12 is secured to the distal extremity 14 by a suitable means such as an adhesive (not shown). The sleeve 46 is provided with a central bore 47 extending therethrough. As shown particularly in Figure 2, the central flow lumen 41 runs into the bore 47 so that the cooled saline solution exiting therefrom exits near the distal extremity of the sleeve 46. Thereafter it passes proximally as indicated by the arrows 48, past the interior surface of the sleeve 46 and in contact therewith and thence into the return lumen 42 which is in communication with the bore 47.

The hemispherical tip 51 is formed of a suitable material such as plastic and is secured to the distal extremity of the sleeve 46 by suitable means such as a mounting block 52 also formed of plastic and secured to the distal extremity of the sleeve 46 by a suitable means such as an adhesive (not shown).

At least two and preferably four impedance sensing electrodes 56 are carried by the hemispherical tip 51 and as shown are embedded therein and are separated circumferentially by 90° with respect to each other. The impedance sensing electrodes 56 also can be formed of a suitable material such as platinum and are connected by insulated conductors 57 extending through holes 58 provided in the mounting block 52 into the bore 47 of the sleeve 46 thence into the wire lumen 43. Although the conductors 57 extend through the bore 57 which is exposed



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to the cooling solution, the wire lumen 43 is sealed off from the bore 47 by an epoxy plug 61 at the entrance to the wire lumen 43 (see Figure 2). Another insulated conductor 66 extends through the wire lumen 43 and is  
5 connected to the sleeve electrode 46 at a solder joint 67.

Means is provided for sensing the temperature of the sleeve electrode 46 and consists of a thermistor 71 which is disposed adjacent to the inner surface of the sleeve electrode as shown in Figure 2 and is connected to an  
10 insulated conductor 72 which also extends into the wire lumen 43.

Because the prostate gland in which the ablation is to be performed is segmented into two parts disposed on opposite sides of the urethra it may be desirable to  
15 provide segmented insulating layers 76 on opposed sides of the sleeve electrode 46 as shown in Figure 4 so that radio frequency energy will only be radiated from the sleeve electrode 46 in the areas which are the spaced-apart areas between the insulating layers 76.

20 The probe 11 may be of a suitable size such as 7 to 10 French with a length of 10 to 15 inches. Graduations 77 are provided on the probe and be in centimeters or inches.

Operation and use of the transurethral radio  
25 frequency apparatus for ablation of the prostate gland in performance of the method of the present invention may now be briefly described in conjunction with Figures 6, 7 and 8. Let it be assumed that a male human being or patient is suffering from benign prostatic hypertrophy which  
30 requires medical treatment. The hemispherical tip 51 of the probe 11 is inserted into the urethra 81 of the penis 82 and is advanced progressively until the hemispherical tip 51 carried by the probe 11 is in the vicinity of the prostate gland 83 which is disposed adjacent the bladder  
35 84.

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At the commencement of this procedure, the impedance sensing circuitry shown in Figure 6 of the apparatus 10 is turned on. As shown, the impedance sensing electrodes 56 carried by the hemispherical tip 51 are disposed in two  
5 pairs spaced 180° apart with one pair being offset with respect to the other pair by 90°. One electrode of each pair is connected to ground as shown whereas the other electrode is connected to the operational amplifier 91, the output of which is connected to a full wave rectifier  
10 92. The full wave rectifier 92 has its output connected to a display unit 93 which can be in the form of a video display monitor.

A radio frequency constant current source 96 is provided for supplying a very small constant current, less  
15 than 10 milliamperes, to each of the ungrounded sensing electrodes 56. The source 96 can be of a suitable frequency, as for example from 100 to 200 KHz. The frequency of the radio frequency from the constant current generator 96 is selected so that it is high enough so that  
20 it will not cause any stimulation of the sphincter muscle of the urethra. The current is kept low enough so that there is substantially no heating of the electrodes 56 but still making it possible to measure impedance. The AC voltage which is picked up by the impedance sensing  
25 electrode 56 is amplified by the amplifier 91 and supplied to the full wave rectifier 92 and converter to a DC voltage which is displayed on the display unit 93.

Alternatively, the DC output signals from the full wave rectifiers 92 can be supplied to a microcontroller 96  
30 with an A/D converter, the output of which is supplied to a display unit 97. The microcontroller 96 processes the signals from the two sets of sensing electrodes 56 which by a simple algorithm can multiply the two impedances and thereby magnify the change in impedance to obtain a signal  
35 which is the square of the impedance measured. Thus a

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two-to-one change in measured impedance would be represented as a four-to-one change in the display unit 97. It should be appreciated that if only a single set of sensing electrodes is provided that the microcontroller 96  
5 could be provided with an algorithm which would represent a squaring circuit to again magnify a change in impedance.

It has been found that impedance sensing by the use of the impedance sensing electrodes 56 on the hemispherical tip 51 provides a very reliable indicator as  
10 to when the hemispherical tip 51 reaches the prostate gland so that the RF electrode 46 can be properly positioned in the prostate gland in the desired position. This is made possible because it has been found that the electrical impedance in the prostate gland is very low  
15 compared to the rest of the body, as for example as little as one-half of that of other body tissues such as muscle tissue. It has been found that body fat typically has an impedance ranging from 300-400 ohms and muscle tissue has an impedance ranging from 120-130 ohms whereas the tissue  
20 in the prostate gland has an impedance ranging from 50-60 ohms to represent almost a two-to-1 reduction in impedance over that of muscle. This marked reduction in impedance can be readily sensed by the sensing electrodes 56. Thus by observing the display units 93 or the display  
25 unit 97 if that is used, it can be readily determined when the hemispherical tip 51 is beginning to enter the prostate gland 83.

Although a single set of sensing electrodes can be provided for measuring impedance, two sets of sensing  
30 electrodes have been provided to aid in verifying that a change in impedance has occurred. Thus by way of example, the probe 11 can be rotated by 90° merely by grasping the proximal extremity by the hand and rotating while the probe 11 is in the urethra 81. Thus if a change of  
35 impedance is sensed by one of the pair of sensing

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electrodes, this change in impedance can be verified by rotating the sensing electrodes by 90° to verify that the same change in impedance is sensed by the other set of electrodes. This makes it possible to verify that the entrance to the prostate gland 83 has been reached. After this entrance point or region has been sensed, the probe 11 can be advanced a predetermined distance measured externally on the side 77 on the probe 11 to ensure that the RF sleeve electrode is positioned in the proper position within the prostate gland. This can be readily accomplished since the prostate gland is generally of a known size with the distance being between the opposite extremities of the prostate gland being known so that the RF sleeve electrode can be properly positioned within the prostate gland. In addition, by utilizing the two pairs of sensing electrodes 56 it is possible to position the probe 11 by appropriate rotation of the same externally of the urethra by the hand and to thereby position the probe 11 so that the uninsulated portions of the sleeve electrode 46 extending between the insulating layers 76 are disposed so that they are aligned with the lobes on opposite sides of the prostate gland in which it is desired to introduce radio frequency energy.

During or after the positioning procedure hereinbefore described for the probe 11 has been accomplished, the chilled saline solution and pump apparatus 26 can be turned on so that the chilled saline solution is introduced through the central lumen 41 and passes over the interior of the sleeve electrode 46 and exits through the lumen 42 for at least approximately one minute so as to reduce the temperature of the electrode and the surrounding urethral wall to around 20-25°C before application of any radio frequency energy from the radio frequency power generator 37. As shown in Figure 7, the radio frequency power generator 37 consists of a radio

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frequency power source 101 of a conventional type, as for example, one of a frequency from 400-500 KHz and having a power output capability ranging from 20-30 watts. The source 101 is provided with an output which is connected to the conductor 66 and to the radio frequency electrode 46. The temperature of the radio frequency electrode is sensed by the thermistor 71 which is connected by the conductors 72 and 73 to an amplifier 102. The output of the amplifier 102 is supplied to a comparator 103 which has one input connected to an adjustable temperature setting potentiometer 104 having an adjustable wiper 106. The output from the comparator 103 is supplied to the RF power source 101 to maintain a constant temperature on the radio frequency electrode which is determined as hereinafter described. As is conventional, a dispersive return pad 111 is placed in an appropriate position on the body of the patient to serve as a return for the RF energy in a manner well known to those skilled in the art.

As shown in Figure 7 as the radio frequency energy is applied to the electrode 46, the temperature gradually increases. Commencing with the point of contact with the wall forming the urethra 81, the temperature gradually increases as shown by the solid curve 116 shown in Figure 7 until it reaches the broken line power curve 117 shown in Figure 7. This power curve 117 represents the case of the temperatures which would be encountered if no cooling was being applied to a ablation or sleeve electrode 46. The urethral wall forming the urethra 81 typically has a thickness ranging from 1-2 millimeters as shown by that dimension in Figure 8. Typically by cooling the radio frequency electrode 46, it is possible to keep the temperature at the urethral wall in the range of 37-40°C which is well below the temperature at which irreversible damage in human tissue can occur which, for example is typically approximately 50°C. Thus, as shown

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in Figure 8, the curve 116 begins to cross the 50°C broken line 117 at a point which is at the outer margin or slightly beyond the outer margin of the urethral wall represented by the distance of 1-2 millimeters.

5 Thereafter, for a distance of approximately 1 centimeter which is generally the distance in which it is desired to ablate tissue in the prostate gland, the curve 116 representing temperature rapidly rises above 50°C to cause radio frequency ablation of this tissue. The temperature

10 rises until the curve 116 reaches the broken line curve 118 then gradually decreases to below the 50°C temperature at a distance of approximately 1 centimeter. Thus irreversible damage in the tissue in the prostate gland will only occur within this region of 1 centimeter from

15 the electrode while sparing the first 1-2 millimeters of tissue which represents the wall of the urethra 81. With such a method it can be seen that it is possible to readily create a lesion ranging in depth from 0.5 to 1 centimeter within the prostate gland depending upon the

20 amount of power applied from the radio frequency source. To ensure that the wall of the urethra 81 will be spared during this ablation procedure, the feedback control hereinbefore described utilizing the thermistor 71 is used to control the radio frequency power source 101 to retain

25 the temperature of the sleeve electrode 46 below 50°C.

It should be appreciated that in connection with the foregoing, automatic controls can be provided with the impedance sensing means hereinbefore described in which the radio frequency power generator 37 can be turned on

30 automatically after a cooling saline solution has been supplied to the electrode for a period of at least one minute to reduce the temperature to the desired level of approximately 25°C. It also should be appreciated that the probe 11 can be rotated during the procedure to

35 achieve additional ablation of the prostate gland if that

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is desired. After the desired amount of ablation has been achieved, the probe 11 can be readily removed from the urethra 81 to complete the procedure.

It can be seen from the foregoing that there has been  
5 provided a transurethral radio frequency apparatus for  
ablation of the prostate gland and a method by use thereof  
which makes it possible to achieve such ablation without  
causing any significant damage to the urethral wall while  
at the same time making it unnecessary to penetrate the  
10 urethral wall and causing damage thereto. In addition, it  
is possible to perform the method in positioning the probe  
without the use of ultrasonic imaging means. Thus, there  
has been provided a relatively simple probe in which  
positioning and ablation can be accomplished from the same  
15 probe.

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IN THE CLAIMS

1. In a transurethral radio frequency apparatus for ablation of the prostate gland through the urethra formed by a urethral wall, a probe consisting of a flexible  
5 elongate tubular member having proximal and distal extremities and being sized so as to be adapted to be inserted into the urethra, a cylindrical sleeve ablation electrode formed of a conductive material carried by the distal extremity of the flexible elongate tubular member  
10 and having a bore therein, the flexible elongate tubular member being provided therein with a first flow lumen for delivering a cooled fluid to the bore of the ablation electrode to cool the same and a second flow lumen for returning the cooled fluid from the bore of the ablation  
15 electrode, means connected to the probe for supplying a coolant solution to the probe, means connected to the probe for supplying radio frequency energy to the electrode while it is being cooled and means for monitoring the temperature of the ablation electrode so  
20 that the ablation electrode is maintained at a temperature below a predetermined temperature to spare the urethral wall from irreversible damage from the radio frequency energy delivered to the ablation electrode.
- 25 2. Apparatus as in Claim 1 further including a segmented insulating layer carried by the sleeve electrode so that radio frequency energy is only radiated from the sleeve electrode in the area not covered by the segmented insulating layer.
- 30 3. Apparatus as in Claim 1 wherein the flexible elongate tubular member and the ablation electrode carried by the distal extremity of the flexible elongate tubular member having a configuration so that they can remain



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within the urethra during the application of radio frequency energy while performing an ablation without the necessity of physically penetrating the urethral wall.

4. Apparatus as in Claim 1 wherein said means for  
5 sensing the temperature of the ablation electrode includes automatic means for controlling the application of radio frequency power to the electrodes so that the electrode does not rise above the predetermined temperature.
5. Apparatus as in Claim 4 wherein said means for  
10 controlling the temperature of the ablation electrode controls the temperature so that the temperature of the urethral wall does not exceed a temperature ranging from 37-40°C.
6. Apparatus as in Claim 1 together with impedance  
15 sensing means carried by the distal extremity of the flexible elongate member and providing an electrical signal and means coupled to said electrical signal remote from the probe for displaying the impedance being measured.
- 20 7. Apparatus as in Claim 6 wherein said impedance measuring means includes at least one pair of impedance sensing electrodes and means for supplying radio frequency constant current energy of less than 10 milliamperes to the impedance sensing electrode.
- 25 8. Apparatus as in Claim 7 wherein said impedance sensing means includes first and second circumferentially spaced-apart impedance sensing electrodes carried by the distal extremity of the flexible elongate tubular member.

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9. Apparatus as in Claim 8 wherein the electrodes of the first and second pairs of impedance sensing electrodes are spaced-apart by approximately 90°.

10. In a method for radio frequency ablation of tissue in the prostate gland by the use of a probe adapted to be inserted into the urethra formed by a urethral wall into the prostate gland and having an electrode carried by the distal tip thereof, supplying radio frequency energy to the electrode to cause radio frequency energy to be introduced into the tissue of the prostate gland and cooling the electrode while radio frequency energy is being applied to the same so that the temperature at the urethral wall is maintained below 50°C so as to prevent irreversible damage to the urethral wall during the time radio frequency energy is being supplied to tissue in the prostate gland to ablate tissue in the prostate gland.

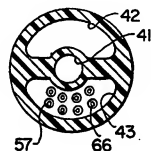
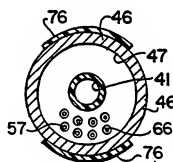
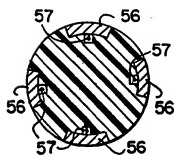
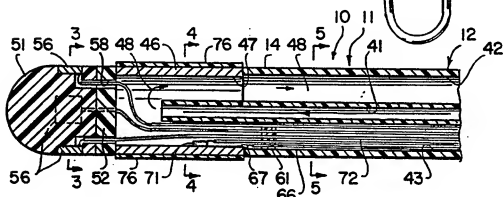
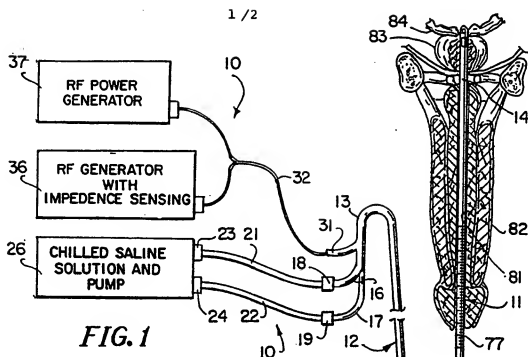
11. A method as in Claim 10 wherein the temperature of the electrode is maintained at a temperature of approximately 37-40°C.

12. A method as in Claim 10 wherein the tissue of the prostate gland has an impedance which is less than that of the surrounding body tissue together with sensing the impedance of tissue encountered by the tip, and determining when the prostate gland has been reached by sensing the lower impedance of the prostate gland and positioning the probe in the prostate gland in accordance with the sensed lower impedance.

13. A method as in Claim 12 together with the step of controlling the application of radio frequency power to the electrode to cause ablation of tissue in the prostate gland to a depth of approximately one centimeter.

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14. A method as in Claim 10 wherein the electrode is retained within the urethra during the time radio frequency energy is supplied to the electrode so that the ablation is carried out without physically penetrating the  
5 urethral wall.



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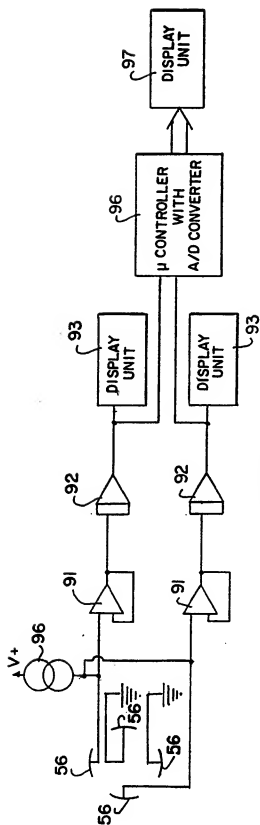


FIG. 6

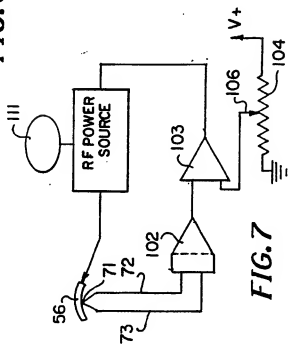


FIG. 7

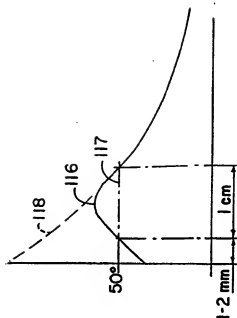


FIG. 8

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US94/06124**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) :A51B 17/36

US CL :606/41

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 606/29, 30, 41, 45, 49, 50; 607/100-102, 104, 105, 113, 116, 154, 156

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
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NONE**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y, P	US, A, 5,281,213, (MILDER ET AL.), 25 January 1994. See figures, and the whole document.	1-14
Y	US, A, 5,057,105, (MALONE ET AL.), 15 October 1991. See Abstract.	1-14
Y	US, A, 4,375,220, (MATVIAS), 01 March 1983. See column 2, lines 46-60.	1-14
Y	US, A, 4,682,596, (BALES ET AL.), 28 July 1987. See column 7, lines 25-43.	4-7, 10, 11
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